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PATENT Attorney Docket No. 3180.0326

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	pplication of:	
TADAS	SHI IGUCHI ET AL.	) Group Art Unit: 2826
Applica	ation No.: 10/612,033	) Examiner: K. Quinto
Filed:	July 3, 2003	) Confirmation No.: 5852
	SEMICONDUCTOR MEMORY PREVENTING AN ELECTRIC SHORT CIRCUIT BETWEEN A WORD LINE AND A SEMICONDUCTOR SUBSTRATE, AND MANUFACTURING METHOD FOR THE SEMICONDUCTOR MEMORY (as amended)	) ) ) ) )

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicant brings to the attention of the Examiner the document on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

A copy of the listed foreign document is attached.

03/09/2007 MAHMED1 00000013 10612033

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Applicant respectfully requests that the Examiner consider the listed document and indicate that it was considered by making an appropriate notation on the attached form.

Applicant provides an English-language Abstract of the listed Japanese publication.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If the Examiner applies the document as prior art against any claims in the application and Applicant determines that the cited document does not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of the document.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Datad:

Bv:

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Reg. No. 3/1.7

IDS Form PTO/SB/08: Subs	stitute for form 1449A/PTO
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

C	omplete if Know	n	
Application Number	10/612,033	DE	10
Filing Date	July 3, 2003	6	- ASK
First Named Inventor	Tadashi Iguchi	7	-01
Art Unit	2826	08	<del>1001 g</del>
Examiner Name	K. Quinto	MAH	4
Attorney Docket Number	3180.0326	120	.5
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U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials	No.¹	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		JP 2000-12709	01-14-2000	Toshiba Corp.		Abstract

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>	
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.